

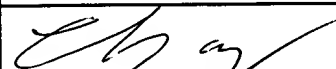
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31041 U.S. PTO

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04/12/01

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|--|--|---|
| <b>UTILITY<br/>PATENT APPLICATION<br/>TRANSMITTAL</b><br>(Only for new non-provisional applications under 37CFR§1.53(b)) | Attorney Docket No.                      | CDM/8139.999                                  |
|  | First Inventor or Application Identifier | Frühm, Hermann                                |
|  | Title                                    | THEATRICAL LIGHTING SYSTEM WITH MOVING LIGHTS |
|  | Express Mail Label No.                   | EL744771656US                                 |

|  |   |   |                |          |                |
|--|---|---|----------------|----------|----------------|
| <b>APPLICATION ELEMENTS</b><br>See MPEP chapter 600 concerning utility patent application contents.  | <b>ADDRESS TO:</b> Assistant Commissioner for Patents<br>Box Patent Application<br>Washington, D.C. 20231 |   |                |          |                |
| <p>1. <input checked="" type="checkbox"/> *Fee Transmittal Form (e.g. PTO/SB/17)<br/>(Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status<br/>See 37 C.F.R. 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total pages <span style="border: 1px solid black; padding: 0 10px;">19</span>]<br/>(preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive Title of the Invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Federally Sponsored R&amp;D</li><li>- Reference to sequence listing, a table or a computer program listing</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Pages <span style="border: 1px solid black; padding: 0 10px;">6</span>]</p> <p>5. Oath or Declaration [Total Pages <span style="border: 1px solid black; padding: 0 10px;">3</span>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. §1.63(d))<br/>(for continuation/divisional with Box 17 completed)</p> <p>1. <input type="checkbox"/> Deletion of Inventor(s)<br/>Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§1.53(d)(2) and 1.33(b)</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 C.F.R. 1.76</p> |   | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table, or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on</p> <p>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies) or</p> <p>ii. <input type="checkbox"/> paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> |                |          |                |
| <b>ACCOMPANYING APPLICATION PARTS</b>  |   |   |                |          |                |
| <p>9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet &amp; document(s))</p> <p>10. <input checked="" type="checkbox"/> 37 C.F.R. §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney<br/>(when there is an assignee)</p> <p>11. <input type="checkbox"/> English translation document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS) /PTO <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)<br/>(should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)<br/>(if foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)<br/>(i) Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other - Check in the sum of \$746</p>   |   |   |                |          |                |
| <p>18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 C.F.R. 1.76.</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: ____/____</p> <p>Prior application information: Examiner _____ Group No./Art Unit _____</p> <p>For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.</p>   |   |   |                |          |                |
| <b>17. CORRESPONDENCE ADDRESS</b>  |   |   |                |          |                |
| <p><input type="checkbox"/> Customer Number or Bar Code Label (Insert customer number or attach bar code label here) or <input checked="" type="checkbox"/> Correspondence address below</p>   |   |   |                |          |                |
| Name   | Charles D. McClung  |   |                |          |                |
| Address  | Chernoff, Vilhauer, McClung & Stenzel, LLP   1600 ODS Tower, 601 S.W. Second Avenue                       |   |                |          |                |
| City   | Portland  | State   | OR             | Zip Code | 97204-3157     |
| Country  | USA   | Telephone   | (503) 227-5631 | FAX      | (503) 228-4373 |
| Name (print/ type)   | Charles D. McClung  | Registration No. (Attorney/Agent)   | 26,568         |          |                |
| Signature  |                        | Date  | 04/12/01       |          |                |

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete If Known

|                      |                |
|----------------------|----------------|
| Application Number   |                |
| Filing Date          | April 12, 2001 |
| First Named Inventor | Frühm, Hermann |
| Examiner Name        |                |
| Group/ Art Unit      |                |
| Attorney Docket No.  | CDM/8139.999   |

TOTAL AMOUNT OF PAYMENT \$746

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge the indicated fees and credit any over payments to:

Deposit Account Number 03-1550  
Deposit Account Name Chernoff Vilhauer McClung & Stenzel

☒ Charge any additional fee required under 37 CFR 1.16 & 1.17

2. ☒ Payment Enclosed

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code     | Fee (\$) | Fee Code | Fee (\$) | Fee Description        | Fee Paid |
|--------------|----------|----------|----------|------------------------|----------|
| 101          | 710      | 201      | 355      | Utility filing fee     | 355      |
| 106          | 320      | 206      | 160      | Design filing fee      |          |
| 107          | 490      | 207      | 245      | Plant filing fee       |          |
| 108          | 710      | 208      | 355      | Reissue filing fee     |          |
| 114          | 150      | 214      | 75       | Provisional filing fee |          |
| SUBTOTAL (1) |          |          |          |                        | \$355    |

### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 44                 | -20** = 24   | x 9 =          | 216      |
| Indep. Claims      | 2            | -3** = 0       | x = 0    |
| Multiple Dependent |              |                | = 135    |

\*or number of previously paid, if greater. For reissues, see below.

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  |
|----------|----------|----------|----------|--|
| 103      | 18       | 203      | 9        | Claims in excess of 20                                   |
| 102      | 80       | 202      | 40       | Independent claims in excess of 3                        |
| 104      | 270      | 204      | 135      | Multiple dependent claim, if not paid                    |
| 109      | 80       | 209      | 40       | **Reissue independent claims over original patent        |
| 110      | 18       | 210      | 9        | *Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$351

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid |
|----------|----------|----------|----------|--|----------|
| 105      | 130      | 205      | 65       | Surcharge - late filing fee or oath  |          |
| 127      | 50       | 227      | 25       | Surcharge-late provisional filing fee or cover sheet                       |          |
| 139      | 130      | 139      | 130      | Non-English specification  |          |
| 147      | 2,520    | 147      | 2,520    | For filing a request for reexamination                                     |          |
| 112      | 920*     | 112      | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 113      | 1840*    | 113      | 1840*    | Requesting publication of SIR after Examiner action                        |          |
| 115      | 110      | 215      | 55       | Extension for reply within first month                                     |          |
| 116      | 390      | 216      | 195      | Extension for reply within second month                                    |          |
| 117      | 890      | 217      | 445      | Extension for reply within third month                                     |          |
| 118      | 1,390    | 218      | 695      | Extension for reply within fourth month                                    |          |
| 128      | 1,890    | 228      | 945      | Extension for reply within fifth month                                     |          |
| 119      | 310      | 219      | 155      | Notice of Appeal   |          |
| 120      | 310      | 220      | 155      | Filing a brief in support of an appeal                                     |          |
| 121      | 270      | 221      | 135      | Request for oral hearing   |          |
| 138      | 1,510    | 138      | 1,510    | Petition to institute a public use proceeding                              |          |
| 140      | 110      | 240      | 55       | Petition to revive - unavoidable   |          |
| 141      | 1,240    | 241      | 620      | Petition to revive - unintentional   |          |
| 142      | 1,240    | 242      | 620      | Utility issue fee (or reissue)   |          |
| 143      | 440      | 243      | 220      | Design issue fee   |          |
| 144      | 600      | 244      | 300      | Plant issue fee  |          |
| 122      | 130      | 122      | 130      | Petitions to the Commissioner  |          |
| 123      | 50       | 123      | 50       | Petitions related to provisional applications                              |          |
| 126      | 240      | 126      | 240      | Submission of Information Disclosure Statement                             |          |
| 581      | 40       | 581      | 40       | Recording each patent assignment per property (times number of properties) | 40       |
| 146      | 710      | 246      | 355      | Filing a submission after final rejection (37 C.F.R. 1.129(a))             |          |
| 149      | 710      | 249      | 355      | For each additional invention to be examined (37 C.F.R. 1.129(b))          |          |
| 169      | 900      | 169      | 900      | Request for Expedited Examination of a Design Application                  |          |

Other (specify)

\* Reduced by Basic Filing Fee Paid

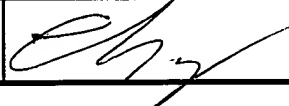
SUBTOTAL (3) \$40

## SUBMITTED BY

Complete (if applicable)

Name (print type) Charles D. McClung Registration No. 26,568 Telephone (503) 227-5631

Signature



Date

April 12, 2001

J1033 U.S. PTO

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04/12/01